

Vendor Compliance Management Portal

KirkpatrickPrice Overview

KirkpatrickPrice is a licensed CPA firm, providing assurance services to over 200 clients in more than 40 states, Canada, Asia and Europe. The firm has over 10 years of experience in information assurance by performing assessments, audits, and tests that strengthen information security, and compliance controls.



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Corporate Background

Corporate/Southeast Regional Office

KirkpatrickPrice, Inc. 1228 East 7th Ave., Suite 200 Tampa, FL 33605

Northeast Regional Office

KirkpatrickPrice, Inc. 350 Fifth Avenue, 59th Floor New York, NY 10118

Midwest Regional Office

KirkpatrickPrice, Inc. 533 Church Street, #124 Nashville, TN 37219

West Coast Regional Office

KirkpatrickPrice, Inc.

1 Sansome Street, 35th Floor
San Francisco, CA 94104



Corporate Background

- Founded in 2005
- KirkpatrickPrice performs hundreds of internal control audits annually in 40 states, Canada, India, and Asia. Our team focuses on engagements based on the CFPB requirements, ISO Standards, PCI Data Security Standard, DBA Certification Standards, and the AICPA guidelines for performing SSAE 16, SOC 2, and SOC 3 audits.
- KirkpatrickPrice has 23 employees and each auditor holds a least one professional certification that directly relates to a critical phase of the audit.



Certifications



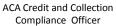
















SAFED INFORMATION

(CISSP)

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KirkpatrickPrice

Industry Memberships

KirkpatrickPrice maintains membership and/or regularly attends conferences provided by the following organizations:

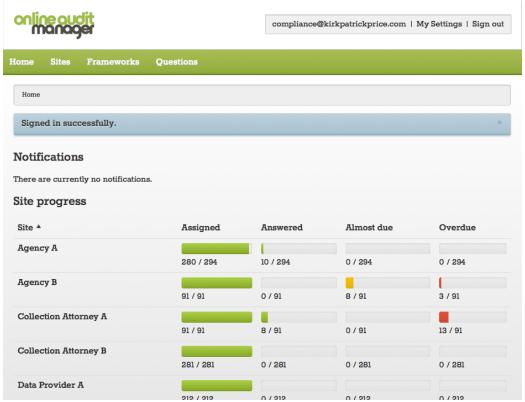
- DBA International
- ACA International
- NARCA
- (ISC)²
- ISACA
- PCI SSC
- IIA Institute of Internal Auditors
- Collections & Credit Risk
- Debt Collections Synopsis
- Collection & Recovery Solutions



Compliance Manager

The Compliance Manager **Home** screen displays a dashboard containing all your established **Sites**. Clicking on each Site name will allow you to drill down into that site.

Progress indicators display real time status of each Site.

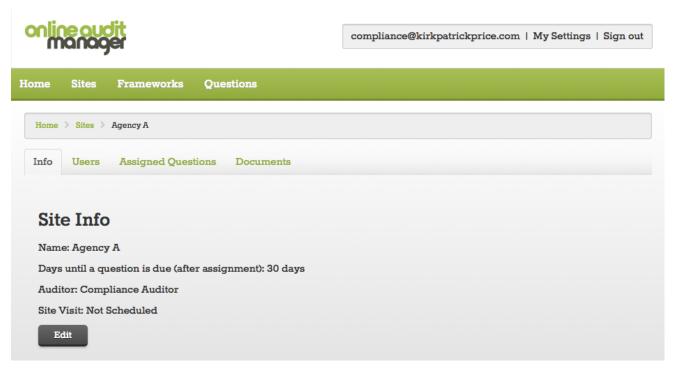




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Site Setup

During initial site setup, Site facilitators (**Users**) will be established and **Questions** may be assigned via pre-established or custom **Frameworks**.

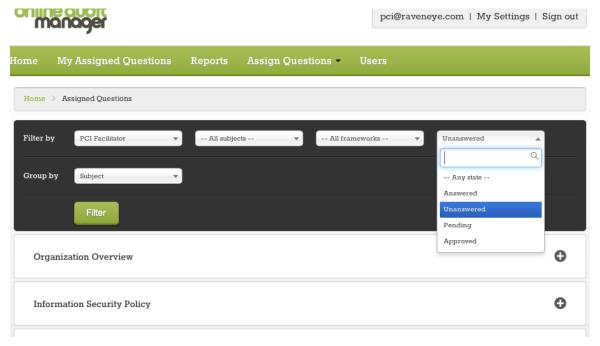




Site Functionality

When the Site user logs in they see only their assigned questions.

Filtering & Grouping are available for quick access to questions needing attention.



The Site "facilitator" user created by the Compliance Manager, can also create corresponding users within the **Site** in order to direct the question to the appropriate person (HR, IT, etc.)

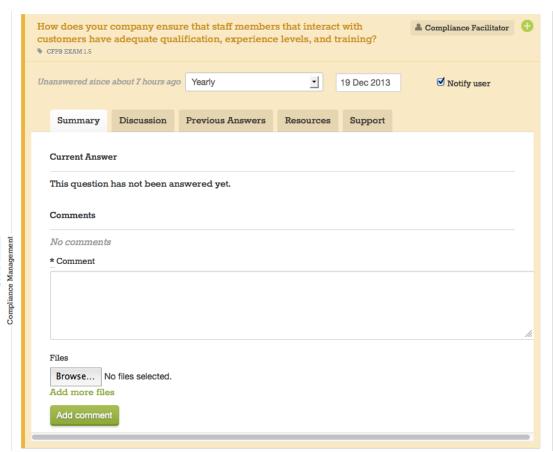


Site Questions

Users have the ability to type a text answer as well as upload corresponding documentation.

At the Compliance Manager level, you have the ability to schedule the frequency updates needed.

Automated reminder emails will be sent to the Site user assigned to this question.





Auditor Review

Once the questions are answered by the Site users, the Auditor now has the ability to Comment And Mark As Pending or Commend and Accept.

Site users are notified via an automated email that further action is required.

All previous answers and comments are retained for historical purposes.

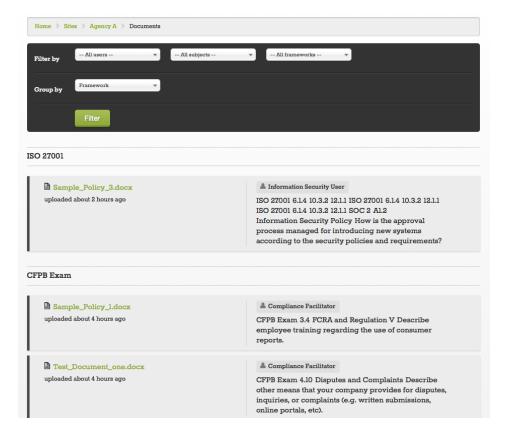


September 25, 2013 18:16		
don't know how to answer this	question. Please help!	
New Answer		
Comments		
No comments		
Comment		
Choose File no file selected		

Auditor Review

Documents attached to corresponding questions can be access by the Auditor either at the question level or by clicking on the Documents link.

Documents are grouped by questionnaire or standardized framework and the corresponding question is identified.





Optional Services

- Auditor resources available to complement your audit objectives:
 - Information Security and/or Regulatory Compliance
 - Onsite or Online
- Risk Assessment Program Development
- Sub-Contractor Audit Framework Development
- CFPB Readiness Gap Assessment
- Information Security Readiness & Audit Services
 - SSAE16
 - PCI DSS
 - FISMA (NIST SP 800-53)
 - HIPAA/HITECH
 - ISO 27001/27002

